

Howden M&A Regulatory Information

This document provides you with Regulatory Information in respect of the following Howden M&A organisations:

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Howden M&A (Germany) GmbH

Information according to section 11 of the German insurance broking regulation (*Versicherungsvermittlungsverordnung*)

Broker	Howden M&A (Germany) GmbH		
Address	Schillerstraße 42-44 60313 Frankfurt Germany		
Branch Offices	Von-der-Tann-Straße 7 80539 Munich Germany	34 Avenue George V 75008 Paris France	Teilingen 11 1082 JP Amsterdam The Netherlands
	Ul. Prosta 70 00-838 Warsaw Poland	Linnégatan 2 114 47 Stockholm Sweden	One Creechurch Place London EC3A 5AF United Kingdom
	Kampmannsgade 2 1604 København K Denmark	Avenue des Arts 56 1000 Brussels Belgium	10 The Courtyard Nangor Road Dublin
Managing Directors	Drew Wardrope, Gennadiy Kharif, Dr Peter Ratz and Severin Zoergiebel		
Commercial Register	Frankfurt am Main, HRB 108670		
Status	Independent insurance broker according to section 34d para. 1 of the German Trade Regulation Act (<i>Gewerbeordnung</i>)		
Broker Register Number	D-N1WG-0VQ6S-72		
Contact Details			
Telephone	+49 (0) 69 219 389 510		
Email	gennadiy.kharif@howdengroup.com		
Internet	http://www.howdenmergers.com		
Fee	As an insurance broker, we offer advisory services. The fee – known as brokerage – for our advisory, intermediary and support work is generally borne by the insurance company, i.e. the brokerage is included in the insurance premium. Any deviation from this must be expressly agreed between us and the client. For broking net products in particular, a separate fee agreement is usually stipulated, which obliges the client to pay the fee. Net products are products for which the brokerage fee is not included in the insurance premium. Our company does not receive a fee in the form of other benefits.		
Competent Authority	Chamber of Industry and Commerce (<i>IHK</i>) Frankfurt am Main		
Address	Börsenplatz 4 60313 Frankfurt am Main		
Broker Register	Deutscher Industrie- und Handelskammertag (DIHK) e. V.		
Address	Breite Straße 29 10178 Berlin		
Telephone	+49 (0)30 203 800		
Internet	http://www.vermittlerregister.info		
Howden M&A (Germany) GmbH - UK Branch	Howden M&A (Germany) GmbH UK Branch is registered in England and Wales; Registered Number: FC036729; Registered Office: One Creechurch Place, London, EC3A 5AF. Authorised and regulated by the Financial Conduct Authority. Firm Reference Number (FRN) 973289. Its regulatory status and permissions can be verified by checking the Financial Services Register on the FCA's website.		
Arbitration Board	For arbitration proceedings according to section 214 of the German Insurance Contract Act (<i>Versicherungsvertragsgesetz</i>), the following arbitration board is responsible:		

Address

Versicherungsombudsman e.V.
Postfach 080632
10006 Berlin
<http://www.versicherungsombudsman.de>

**Participation in
Insurance Companies**

Our company does not hold direct or indirect participations of more than 10% in the voting rights or capital of an insurance company.

**Participation of
Insurance Companies**

An insurance company or a parent entity of an insurance company does not hold a direct or indirect participation of more than 10% in the voting rights or capital of our company.

Howden M&A Limited

Company Registration

Registration details	Registered and incorporated in England and Wales https://beta.companieshouse.gov.uk/company/10687642
Registration number	Company registration number 10687642
Registered & Trading Address	One Creechurch Place London EC3A 5AF United Kingdom
Board Directors	Drew Wardrope Paul Harwood Andrew Hall

Regulatory Status

Howden M&A Limited is an Appointed Representative of Howden Insurance Brokers Limited, which is authorised and regulated by the Financial Conduct Authority (FCA) in respect of general insurance business. Howden Insurance Brokers Limited's FCA Firm Reference Number is 309639

Howden M&A Limited is an Appointed Representative of Howden Capital Markets Limited in relation to investment business. Howden Capital Markets Limited FCA Firm Reference Number is 402258.

Howden M&A Limited's FCA Firm Reference Number is 775106. These details can be checked on the Financial Services Register by visiting <https://register.fca.org.uk> or by contacting the FCA on +44 (0)800 111 6768.

Contact Details

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Email	Drew.Wardrope@howdengroup.com
Internet	http://www.howdenmergers.com